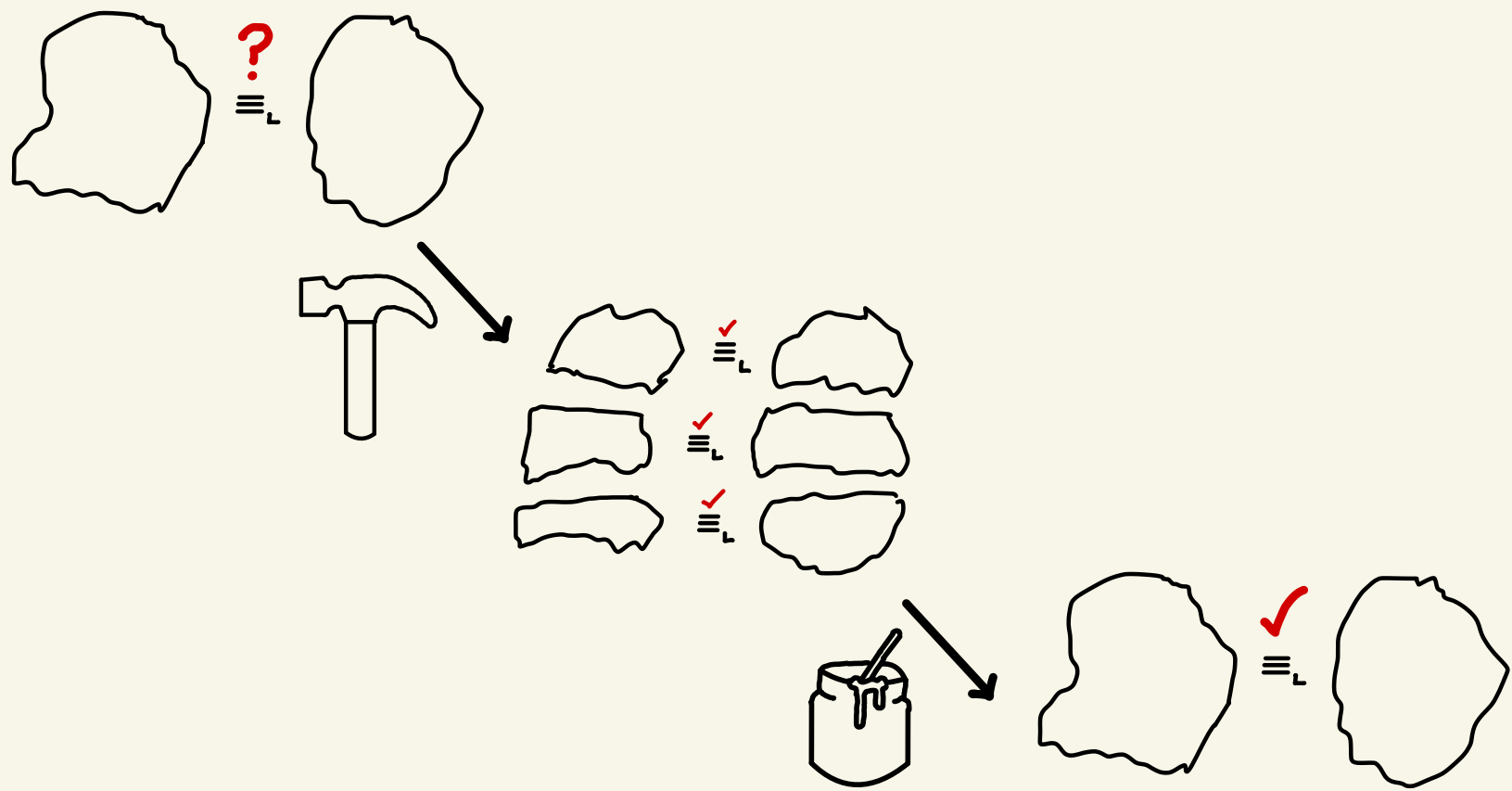


A categorical account of composition methods in logic

(Joint with Tomáš Jaki and Nihil Shah)

The composition method (a.k.a. the decomposition method)



Feferman - Vaught - Mostowski Theorems

Typical Formulation

If we have model equivalences:

$$A_1 \equiv_{L_1} B_1, \dots, A_n \equiv_{L_n} B_n$$

Then

$$Op(A_1, \dots, A_n) \equiv_L Op(B_1, \dots, B_n)$$

Examples

1) If $A_1 \equiv_{FO} B_1$ and $A_2 \equiv_{FO} B_2$ then:

- $A_1 \times A_2 \equiv_{FO} B_1 \times B_2$

- $A_1 + A_2 \equiv_{FO} B_1 + B_2$

2) The same for MSO and coproducts, but not products

3) (A non-example) If $A_1 \equiv_{ML} B_1$ and $A_2 \equiv_{ML} B_2$
then not necessarily $A_1 + A_2 \equiv_{ML} B_1 + B_2$

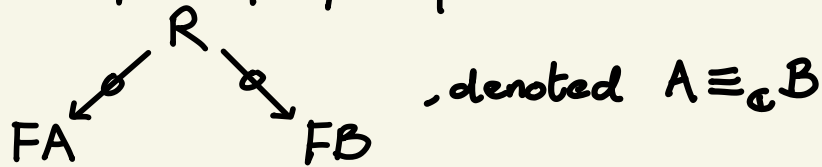
Game Comonad Equivalences

For a game comonad \mathbb{C} , we consider:

1) $A \overset{\rightarrow}{\leftarrow} B$ in $R(\sigma)_{\mathbb{C}}$, denoted $A \equiv_{\exists^+ \mathbb{C}} B$ and $A \rightleftharpoons_{\exists^+ \mathbb{C}} B$

2) $A \cong B$ in $R(\sigma)_{\mathbb{C}}$, denoted $A \equiv_{\# \mathbb{C}} B$

3) There is a span of open pathwise-embeddings in $R(\sigma)_{\mathbb{C}}$



Example

For the Ehrenfeucht-Fraïssé comonad \mathbb{E}_k

- 1) Corresponds to equivalence in the \exists^+ fragment of FO
- 2) Corresponds to equivalence in FO extended with counting
- 3) Corresponds to FO equivalence

All up to quantifier depth k .

Game Comonads and FVM Theorems

In the setting of game comonads, there are three natural classes of FVM theorem to consider:

$$1) A_1 \equiv_{\exists^+ \mathcal{C}_1} B_1, \dots, A_n \equiv_{\exists^+ \mathcal{C}_n} B_n \text{ implies } \mathcal{O}_p(A_1, \dots, A_n) \equiv_{\exists^+ \mathbb{D}} \mathcal{O}_p(B_1, \dots, B_n)$$

$$2) A_1 \equiv_{\# \mathcal{C}_1} B_1, \dots, A_n \equiv_{\# \mathcal{C}_n} B_n \text{ implies } \mathcal{O}_p(A_1, \dots, A_n) \equiv_{\# \mathbb{D}} \mathcal{O}_p(B_1, \dots, B_n)$$

$$3) A_1 \equiv_{\mathcal{C}_1} B_1, \dots, A_n \equiv_{\mathcal{C}_n} B_n \text{ implies } \mathcal{O}_p(A_1, \dots, A_n) \equiv_{\mathbb{D}} \mathcal{O}_p(B_1, \dots, B_n)$$

Dealing with $\equiv_{\exists+}$

Consider binary H . If $A_1 \equiv_{\exists+} C_1 B_1$, $A_2 \equiv_{\exists+} C_2 B_2$,
we then have morphisms

$$C_1 A_1 \xrightarrow{t_1} B_1 \quad \text{and} \quad C_2 A_2 \xrightarrow{t_2} B_2$$

Assuming H is functorial, we can form

$$H(C_1 A_1, C_2 A_2) \xrightarrow{H(t_1, t_2)} H(B_1, B_2)$$

Dealing with $\Rightarrow_{\exists+}$ (Continued)

Assuming a family of morphisms, we can form the composite.

$$\underbrace{\mathbb{D}H(A_1, A_2) \xrightarrow{K_{A_1, A_2}} H(C_1 A_1, C_2 A_2)}_{\text{arrow from above}} \xrightarrow{H(f_1, f_2)} H(B_1, B_2)$$

and so

$$H(A_1, A_2) \Rightarrow_{\exists+ \mathbb{D}} H(B_1, B_2)$$

The General $\Rightarrow_{\exists+}$ and $\equiv_{\exists+}$ FVM Theorem

Theorem III.2. Let $\mathcal{C}_1, \dots, \mathcal{C}_n$ and \mathcal{D} be comonads on categories $\mathcal{C}_1, \dots, \mathcal{C}_n$, and \mathcal{D} respectively, and

$$H: \mathcal{C}_1 \times \dots \times \mathcal{C}_n \rightarrow \mathcal{D}$$

a functor. If for every $A_1 \in \text{obj}(\mathcal{C}_1), \dots, A_n \in \text{obj}(\mathcal{C}_n)$ there exists a morphism

$$\mathbb{D}(H(A_1, \dots, A_n)) \xrightarrow{\kappa_{A_1, \dots, A_n}} H(\mathbb{C}_1(A_1), \dots, \mathbb{C}_n(A_n)) \quad (4)$$

in \mathcal{D} , then

$$A_1 \Rightarrow_{\exists+\mathcal{C}_1} B_1, \dots, A_n \Rightarrow_{\exists+\mathcal{C}_n} B_n$$

implies

$$H(A_1, \dots, A_n) \Rightarrow_{\exists+\mathbb{D}} H(B_1, \dots, B_n)$$

The same result holds when replacing $\Rightarrow_{\exists+\mathcal{C}}$ with $\equiv_{\exists+\mathcal{C}}$.

Dealing with uncopy $\equiv_{\#}$

Assuming $A \equiv_{\# \mathbb{C}} B$, there are morphisms:

$$f: \mathbb{C}A \rightarrow B \text{ and } g: \mathbb{C}B \rightarrow A$$

which are mutually inverse in the Kleisli category of \mathbb{C} .

Continuing from the assumptions used for $\equiv_{\exists+}$, we would like the composites:

$$\text{ID } HA \xrightarrow{k_A} H(\mathbb{C}A) \xrightarrow{H(f)} HB \text{ and } \text{ID } HB \xrightarrow{k_B} H(\mathbb{C}B) \xrightarrow{H(g)} HA$$

are mutually inverse in the Kleisli category of ID .

Dealing with uncopy \equiv

$$(H(g) \cdot \kappa_B) \circ (H(f) \cdot \kappa_A)$$

= < definition >

$$H(g) \cdot \kappa_B \cdot (H(f) \cdot \kappa_A)^*$$

= < ? >

$$H(g) \cdot H(f^*) \cdot \kappa_A$$

= < functoriality >

$$H(g \cdot f^*) \cdot \kappa_A$$

= < definition >

$$H(g \circ f) \cdot \kappa_A$$

= < assumption >

$$\varepsilon_A \cdot \kappa_A$$

= < ? >

$$\varepsilon_{H(A)}$$

Suggests two axioms:

$$1) \kappa_B \cdot (H(f) \cdot \kappa_A)^* = H(f^*) \cdot \kappa_A$$

$$2) \varepsilon_A \cdot \kappa_A = \varepsilon_{H(A)}$$

Dealing with unary $\equiv_{\#}$, Kleisli Laws

The axioms on the previous slide are equivalent to requiring

$$\mathbb{D}H(A) \xrightarrow{\kappa_A} H(\mathbb{C}A)$$

constitute a Kleisli law. These correspond to liftings:

$$\begin{array}{ccc} \mathbb{C}_{\mathbb{C}} & \xrightarrow{\hat{H}} & \mathbb{D}_{\mathbb{D}} \\ \uparrow & & \uparrow \\ \mathbb{C} & \xrightarrow{H} & \mathbb{D} \end{array}$$

Dealing with $\equiv_{\#}$, the general case

Ω -ary Kleisli laws:

$$\mathbb{D} \circ H \xrightarrow{K} H \circ \underset{i}{\prod} C_i$$

bijection correspond to liftings:

$$\begin{array}{ccc} \underset{i}{\prod} C_i & \xrightarrow{\hat{H}} & \mathbb{D}_{\mathbb{D}} \\ \uparrow & & \uparrow \\ \underset{i}{\prod} C_i & \xrightarrow{H} & \mathbb{D} \end{array}$$

The general $\equiv_{\#}$ FVM Theorem

Theorem IV.4. *Let $\mathbb{C}_1, \dots, \mathbb{C}_n$ and \mathbb{D} be comonads on categories $\mathcal{C}_1, \dots, \mathcal{C}_n$ and \mathcal{D} , respectively, and $H: \prod_i \mathcal{C}_i \rightarrow \mathcal{D}$ a functor. If there exists a Kleisli law of type*

$$\mathbb{D} \circ H \Rightarrow H \circ \prod_i \mathbb{C}_i$$

then

$$A_1 \equiv_{\# \mathbb{C}_1} B_1 \dots, A_n \equiv_{\# \mathbb{C}_n} B_n$$

implies

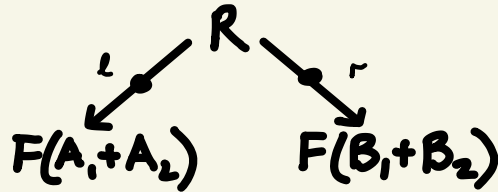
$$H(A_1, \dots, A_n) \equiv_{\# \mathbb{D}} H(B_1, \dots, B_n).$$

FO_k Equivalence and Coproducts

Given spans:



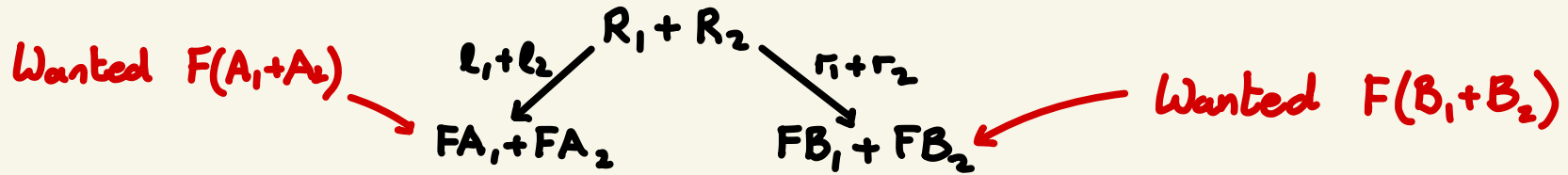
we would like to construct a span



Focus: Spans of the right shape, ignoring open pathwise embedding issues

Plan A - Use Coproducts

$R(\sigma)^{\mathbb{E}k}$ has coproducts, so we can form the span:



The feet are the wrong form - we seem to be stuck.

Note

There is a canonical map $F(A) + F(B) \rightarrow F(A + B)$, but this will not in general be an open pathwise embedding. If our example was for products, the corresponding canonical map would point in the wrong direction.

Plan B - Direct Construction

We explicitly construct an 'interleaving' bifunctor $(-) \wr (-)$
This yields a span:

$$\begin{array}{ccc} & R_1 \wr R_2 & \\ \swarrow \ell_1 \wr \ell_2 & & \searrow r_1 \wr r_2 \\ FA_1 \wr FA_2 & & FB_1 \wr FB_2 \\ \parallel & & \parallel \\ F(A_1 + A_2) & & F(B_1 + B_2) \end{array}$$

Questions

- 1) Where did this come from?
- 2) What is the general pattern and its scope?

Bilinear Maps

Recall for vector spaces X, Y and Z that a function

$$h: X \times Y \longrightarrow Z$$

is bilinear if

- 1) $\forall x \in X$ $h(x, -)$ is linear.
- 2) $\forall y \in Y$ $h(-, y)$ is linear.

Also recall there is a tensor product of vector spaces which is universal in that:

$$\frac{\text{linear maps } X \otimes Y \longrightarrow Z}{\text{bilinear maps } X \times Y \longrightarrow Z}$$

Question: Where does this structure come from abstractly?

Vector Spaces as Eilenberg-Moore Algebras

Let S be a semiring. There is a Set monad \mathbb{V}_S with:

- $\mathbb{V}_S(A)$ (finitely supported) formal sums of the form:

$$\sum_i s_i a_i$$

- Unit $\eta(a) = a$ (the trivial sum)
- Multiplication:

$$\sum_i s_i \sum_j s_j a_{ij} \xrightarrow{\mu} \sum_i \sum_j s_i s_j a_{ij}$$

Examples

- For arbitrary S $\text{Set}^{\mathbb{V}_S}$ is the category of S -semimodules
- For a ring R $\text{Set}^{\mathbb{V}_R}$ is the category of R -modules
- For a field F $\text{Set}^{\mathbb{V}_F}$ is the category of F vector spaces
- $\text{Set}^{\mathbb{V}_{\mathbb{N}}}$ is the category of Abelian monoids
- $\text{Set}^{\mathbb{V}_{\mathbb{Z}}}$ is the category of Abelian groups

Special Classes of Monads

Definitions

1) A symmetric monoidal category (SMC) is a category \mathcal{V} with:

- A unit object I
- A bifunctor $\otimes : \mathcal{V} \times \mathcal{V} \rightarrow \mathcal{V}$
- Natural isomorphisms:

$$I \otimes A \cong A \quad A \otimes I \cong A \quad (A \otimes B) \otimes C \cong A \otimes (B \otimes C) \quad A \otimes B \cong B \otimes A$$

Subject to several coherence axioms

2) A strength for a functor $T : \mathcal{V} \rightarrow \mathcal{V}$ on an SMC is a n.t.

$$st : A \otimes T(B) \rightarrow T(A \otimes B)$$

Subject to coherence axioms w.r.t. the SMC structure.

A strong functor is a functor with a strength

3) A strong monad is a monad (T, η, μ) such that st satisfies additional coherence axioms w.r.t. η and μ .

Set Monads are all strong

Canonical strength for $(\text{Set}, x, 1)$

For Set monad Π define:

$$\begin{aligned} \text{st}: A \times \Pi B &\longrightarrow \Pi(A \times B) \\ (a, t) &\longmapsto \Pi(\lambda y. (a, y))(t) \end{aligned}$$

Examples

- List monad

$$(a, [b_1, \dots, b_n]) \mapsto [(a, b_1), \dots, (a, b_n)]$$

- For \forall^s

$$(a, \sum_i s_i b_i) \mapsto \sum_i s_i (a, b_i)$$

Commutative Monads

We can define a dual strength st' as:

$$st' := \Pi(A) \otimes B \xrightarrow{\cong} B \otimes \Pi(A) \xrightarrow{st} \Pi(B \otimes A) \xrightarrow{\Pi(\cong)} \Pi(A \otimes B)$$

We can then define two double strength maps:

$$dst := \Pi A \otimes \Pi B \xrightarrow{st} \Pi(\Pi A \otimes B) \xrightarrow{\Pi st'} \Pi^2(A \otimes B) \xrightarrow{\mu} \Pi(A \otimes B)$$

$$dst' := \Pi A \otimes \Pi B \xrightarrow{st'} \Pi(A \otimes \Pi B) \xrightarrow{\Pi st} \Pi^2(A \otimes B) \xrightarrow{\mu} \Pi(A \otimes B)$$

A monad is commutative if $dst = dst'$

Commutativity for Set Monads

List

$$\text{dst}([a_1, \dots, a_n], [b_1, \dots, b_m]) := [(a_1, b_1), \dots, (a_n, b_1), \dots, (a_1, b_m), \dots, (a_n, b_m)]$$

$$\text{dst}'([a_1, \dots, a_n], [b_1, \dots, b_m]) := [(a_1, b_1), \dots, (a_1, b_m), \dots, (a_n, b_1), \dots, (a_n, b_m)]$$

So list is not commutative.

\mathbb{V}^S

$$\text{dst}(\sum_i s_i a_i, \sum_j r_j b_j) := \sum_{ij} r_j s_i (a_i, b_j)$$

$$\text{dst}'(\sum_i s_i a_i, \sum_j r_j b_j) := \sum_{ij} s_i r_j (a_i, b_j)$$

So \mathbb{V}^S is commutative iff S has a commutative multiplication

Algebraic Intuition

A Set monad presented by (Σ, E) is commutative iff all the operations in Σ are homomorphisms w.r.t. each other. This is unrelated to commutativity in the sense $a+b = b+a$.

Bilinearity Abstractly (Finally!)

Bimorphisms

For a commutative monad \mathbb{T} , and algebras (A, α) , (B, β) , (C, δ) we say that $h: A \otimes B \rightarrow C$ is bilinear or a bimorphism if the following diagram commutes:

$$\begin{array}{ccccc} \mathbb{T}A \otimes \mathbb{T}B & \xrightarrow{dst} & \mathbb{T}(A \otimes B) & \xrightarrow{\mathbb{T}(h)} & \mathbb{T}C \\ \alpha \otimes \beta \downarrow & & & & \downarrow \delta \\ A \otimes B & \xrightarrow{h} & & & C \end{array}$$

Examples

- For vector spaces or (semi)modules over a commutative (semi)ring this is the usual notion of bilinearity.
- For Abelian monoids or groups this is the usual notion of bimorphism.

Some standard results

Classical Results of Kock (See also Jacobs, Seal)

If Π is a commutative monad

i) The SMC structure lifts to a structure \otimes_{Π} on \mathcal{V}_{Π} such that:

$$A \otimes B = A \otimes_{\Pi} B \quad (\text{on objects})$$

2) If \mathcal{V}^{Π} has coequalizers of reflexive pairs the SMC structure lifts to \otimes^{Π} on \mathcal{V}^{Π} such that

i) $F(A) \otimes^{\Pi} F(B) \cong F(A \otimes B)$

ii) The tensor is universal in that:

$$\frac{\text{bimorphism } (\alpha, \beta) \longrightarrow \gamma}{\text{morphisms } \alpha \otimes^{\Pi} \beta \longrightarrow \gamma}$$

Back to logic

Dualising if we have a binary operation \otimes such that:

- 1) \otimes induces an SMC structure on $R(\sigma)$
- 2) We can identify a strength for our comonad of interest \mathbb{C}_K
- 3) Our comonad is commutative
- 4) $R(\sigma)^{\mathbb{C}_K}$ has equalizers of reflexive pairs

Then:

1) In $R(\sigma)_{\mathbb{C}_K}$

$$(f_1: A_1 \rightarrow B_1, f_2: A_2 \rightarrow B_2) \mapsto A_1 \otimes A_2 = A_1 \otimes_{\mathbb{C}_K} A_2 \xrightarrow{f_1 \otimes_{\mathbb{C}_K} f_2} B_1 \otimes_{\mathbb{C}_K} B_2 = B_1 \otimes B_2$$

\otimes preserves \rightrightarrows and \cong in $R(\sigma)_{\mathbb{C}_K}$

2) In $R(\sigma)^{\mathbb{C}_K}$

$$\left(\begin{array}{ccc} & \xrightarrow{l_1} & R_1 & \xrightarrow{r_1} & \\ & \swarrow & & \searrow & \\ & FA_1 & & & FB_1 \end{array}, \begin{array}{ccc} & \xrightarrow{l_2} & R_2 & \xrightarrow{r_2} & \\ & \swarrow & & \searrow & \\ & FA_2 & & & FB_2 \end{array} \right) \mapsto \begin{array}{ccc} & & R_1 \otimes_{\mathbb{C}_K} R_2 & & \\ & \swarrow & & \searrow & \\ & FA_1 \otimes_{\mathbb{C}_K} FA_2 & & & FB_1 \otimes_{\mathbb{C}_K} FB_2 \\ \parallel & & & & \parallel \\ & F(A_1 \otimes A_2) & & & F(B_1 \otimes B_2) \end{array}$$

We can construct spans of the right shape for two sided equivalence

Worst Talk Ever!

That was somewhat underwhelming

- We need to identify and verify monoidal structure
- We need to identify and verify a strength such that our comonad is commutative
- We need to verify a technical condition on $R(\sigma)^{C_k}$

Even then:

- We can only deal with binary operations
- We can only work with a single base category (signature)
- We can only work with a single comonad (logic)

Questions

- 1) How much of this stuff do we really need?
- 2) What role do the various assumptions really play?
- 3) How far can we generalize?

Looking again at bimorphisms

For a functor $H: \mathcal{C} \rightarrow \mathcal{D}$, monads $\mathbb{S}: \mathcal{C} \rightarrow \mathcal{C}$ and $\mathbb{T}: \mathcal{D} \rightarrow \mathcal{D}$, \mathbb{S} -algebra (A, κ) and \mathbb{T} -algebra (B, β) , and $\lambda: H\mathbb{S}A \rightarrow \mathbb{T}HA$, $h: H(A) \rightarrow B$ is a bimorphism if:

$$\begin{array}{ccccc} H\mathbb{S}A & \xrightarrow{\lambda} & \mathbb{T}HA & \xrightarrow{\mathbb{T}h} & \mathbb{T}B \\ H\kappa \downarrow & & & & \downarrow \beta \\ HA & \xrightarrow{h} & & & B \end{array}$$

Note

We make no assumptions on λ such as naturality at this point.

Note

Despite appearing unvary, this really does generalize the previous condition, as we may consider product monads on product categories

Generalizing the classical results

- 1) If \mathcal{D}^T has coequalizers of reflexive pairs there exists an algebra $\hat{H}(\alpha)$ such that we have bijection:

$$\frac{\text{Bimorphisms } \alpha \rightarrow \beta}{\text{Morphisms } \hat{H}\alpha \rightarrow \beta}$$

- 2) If furthermore $\lambda: H\$A \rightarrow \Pi HA$ is natural in A , then \hat{H} extends to a functor $\mathcal{C}^{\$} \rightarrow \mathcal{D}^T$.

- 3) If also λ satisfies:

$$\begin{array}{ccc} H\$A & \xrightarrow{\lambda} & \Pi HA \\ & \nwarrow H\eta & \nearrow \eta \\ & HA & \end{array} \quad \textcircled{1}$$

$$\begin{array}{ccccc} H\$^2A & \xrightarrow{\lambda} & \Pi H\$A & \xrightarrow{\Pi\lambda} & \Pi^2 HA \\ H\mu \downarrow & & \textcircled{2} & & \downarrow \mu \\ H\$A & \xrightarrow{\lambda} & \Pi HA & & \end{array}$$

Then $\hat{H}F(A) \cong FH(A)$.

Back to logic again

We now have the following for operation H

- 1) If H is functorial and there is a Kleisli-law $\lambda: HC_K \Rightarrow D_2 H$ then
 - i) H preserves \Leftrightarrow equivalence
 - ii) H preserves Kleisli isomorphism equivalence
- 2) If D^{D_2} has equalizers of reflexive pairs then H preserves spans of the required shape.

Note

These results cover n -ary operations involving potentially different base categories and comonads.

The main theorem

Up to introduction of a suitable factorisation system, some assumptions about paths, and one remaining condition (S2') relating all three:

Theorem V.13. *Let $\mathbb{C}_1, \dots, \mathbb{C}_n$ and \mathbb{D} be comonads on categories $\mathcal{C}_1, \dots, \mathcal{C}_n$ and \mathcal{D} , respectively, and $H: \prod_i \mathcal{C}_i \rightarrow \mathcal{D}$ a functor which preserves embeddings. If there exists a Kleisli law of type*

$$\mathbb{D} \circ H \Rightarrow H \circ \prod_i \mathbb{C}_i$$

satisfying (S2'), then

$$A_1 \equiv_{\mathbb{C}_1} B_1, \dots, A_n \equiv_{\mathbb{C}_n} B_n$$

implies

$$H(A_1, \dots, A_n) \equiv_{\mathbb{D}} H(B_1, \dots, B_n).$$

Example application

Theorem VI.4. *If \mathbb{C} preserves embeddings and if for any surjective morphism of coalgebras $(A, \alpha) \rightarrow (B, \beta)$ in $\text{EM}(\mathbb{C})$, if (A, α) is a path then so is (B, β) , then*

$$A_1 \equiv_{\mathbb{C}} A_2 \text{ and } B_1 \equiv_{\mathbb{C}} B_2$$

$$\text{implies } A_1 \times A_2 \equiv_{\mathbb{C}} B_1 \times B_2$$