

Bisimulation between hom sets and logics without counting

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The setting

Let σ be a finite relational vocabulary, we can define a category of σ -structures Σ :

- Objects are $\mathcal{A} = (A, \{R^{\mathcal{A}}\}_{R \in \sigma})$ where $R^{\mathcal{A}} \subseteq A^r$ for r -ary relation symbol R .
- Morphisms $f : \mathcal{A} \rightarrow \mathcal{B}$ are relation preserving set functions $f : A \rightarrow B$

$$R^{\mathcal{A}}(a_1, \dots, a_r) \Rightarrow R^{\mathcal{B}}(f(a_1), \dots, f(a_r))$$

- Embeddings $f : \mathcal{A} \hookrightarrow \mathcal{B}$ are injective morphisms that reflect relations:

$$R^{\mathcal{A}}(a_1, \dots, a_r) \Leftarrow R^{\mathcal{B}}(f(a_1), \dots, f(a_r))$$

- Set of homomorphisms $\text{Hom}_{\Sigma}(\mathcal{A}, \mathcal{B})$

Subcategory of finite σ -structures Σ_f

Lovász-type results

\mathcal{A}, \mathcal{B} be finite σ -structures. $\mathcal{V}^k(\#)$ and $QR_n(\#)$ are k -variable logic and logic up to quantifier rank $\leq n$ with counting quantifiers, i.e. $\exists_{\leq i} x \phi(x)$

Theorem ([Lovász, 1967])

$$\mathcal{A} \cong \mathcal{B} \iff |\text{Hom}(\mathcal{C}, \mathcal{A})| = |\text{Hom}(\mathcal{C}, \mathcal{B})| \quad \forall \text{ finite } \mathcal{C}$$

Theorem ([Dvořák, 2009])

$$\mathcal{A} \equiv_{\mathcal{V}^k(\#)} \mathcal{B} \iff |\text{Hom}(\mathcal{C}, \mathcal{A})| = |\text{Hom}(\mathcal{C}, \mathcal{B})| \quad \forall \text{ finite } \mathcal{C} \text{ w/ } \text{tw}(\mathcal{C}) < k$$

Theorem ([Grohe, 2020])

$$\mathcal{A} \equiv_{QR_n(\#)} \mathcal{B} \iff |\text{Hom}(\mathcal{C}, \mathcal{A})| = |\text{Hom}(\mathcal{C}, \mathcal{B})| \quad \forall \text{ finite } \mathcal{C} \text{ w/ } \text{td}(\mathcal{C}) \leq n$$

No-go without counting

Proposition ([Atserias et al., 2021])

There is no class of graphs \mathcal{F} such that either of these hold:

$$A \equiv^{\mathcal{V}^k} B \iff |\text{Hom}(F, A)| = |\text{Hom}(F, B)| \quad \forall F \in \mathcal{F}$$

$$A \equiv^{\mathcal{V}^k} B \iff |\text{Hom}(A, F)| = |\text{Hom}(B, F)| \quad \forall F \in \mathcal{F}$$

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Solution using bisimulation

Instead of bijection between Hom sets, a “bisimulation” between Hom sets:

$$\mathcal{A} \equiv^{\mathcal{L}_k} \mathcal{B} \Leftrightarrow \begin{array}{ccc} & S_{\mathcal{C}} & \\ F \swarrow & & \searrow G \\ \text{Hom}(\mathcal{C}, \mathcal{A}) & & \text{Hom}(\mathcal{C}, \mathcal{B}) \end{array}$$

for all finite \mathcal{C} w/ parameter $\leq k$ and $\exists S_{\mathcal{C}}$ and F, G (with some conditions)

Motivated by the framework of **Spoiler-Duplicator game comonads**

Spoiler-Duplicator games

Ehrenfeucht-Fraïssé game

- In every round i , of the n -round game $\mathbf{EF}_n(\mathcal{A}, \mathcal{B})$:
 - Spoiler chooses an element $a_i \in \mathcal{A}$ or $b_i \in \mathcal{B}$
 - Duplicator responds with $b_i \in \mathcal{B}$ or $a_i \in \mathcal{A}$
- Duplicator wins round i if $\gamma_i = \{(a_j, b_j) \mid j \leq i\}$ is a partial isomorphism
- If Duplicator has a winning response to every Spoiler move, Duplicator has a winning strategy.

Theorem ([Ehrenfeucht, 1961, Fraïssé, 1954])

Duplicator has a winning strategy in $\mathbf{EF}_n(\mathcal{A}, \mathcal{B})$ iff $\mathcal{A} \equiv^{QR_n} \mathcal{B}$

Variants of EF game

One sided variant $\exists^+ \mathbf{EF}_n(\mathcal{A}, \mathcal{B})$:

- Spoiler only plays in \mathcal{A} , Duplicator responds in \mathcal{B}
- Winning condition: weakened form partial isomorphism to partial homomorphism
- Captures preservation in $\exists^+ QR_n$,

$$\mathcal{A} \Rightarrow^{\exists^+ QR_n} \mathcal{B} \Leftrightarrow \mathcal{A} \models \phi \Rightarrow \mathcal{B} \models \phi \text{ for } \phi \in \exists^+ QR_n$$

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Bijection variant $\# \mathbf{EF}_n(\mathcal{A}, \mathcal{B})$

- Duplicator chooses a bijection $f : A \rightarrow B$
- Spoiler chooses $a_i \in \mathcal{A}$ or $b_i \in \mathcal{B}$
- Duplicator responds $f(a_i) \in \mathcal{B}$ or $f^{-1}(b_i) \in \mathcal{A}$
- Captures equivalence in $QR_n(\#)$: $\mathcal{A} \equiv^{QR_n(\#)} \mathcal{B}$

Spoiler-Duplicator games

Immerman's k -pebble game

- Spoiler and Duplicator each have k pebbles. On each round:
 - Spoiler places his pebble $p \in \mathbf{k}$ on $a_i \in \mathcal{A}$ or $b_i \in \mathcal{B}$
 - Duplicator places her corresponding pebble $p \in \mathbf{k}$ on $b_i \in \mathcal{B}$ or $a_i \in \mathcal{A}$

Duplicator wins the round if the relation of all pebbled elements $\gamma = \{(a, b) \mid p \in \mathbf{k} \text{ w/ } p \text{ pebbling } a \in \mathcal{A}, b \in \mathcal{B}\}$ is a partial isomorphism

Theorem ([Immerman, 1982])

Duplicator has a winning strategy in $\text{Peb}_k(\mathcal{A}, \mathcal{B})$ iff $\mathcal{A} \equiv^{\mathcal{V}^k} \mathcal{B}$

- One sided variant $\exists \mathbf{Peb}_k(\mathcal{A}, \mathcal{B})$: $\mathcal{A} \Rightarrow^{\exists^+ \mathcal{V}^k} \mathcal{B}$ (preservation \exists^+)
- Bijection variant $\# \mathbf{Peb}_k(\mathcal{A}, \mathcal{B})$: $\mathcal{A} \equiv^{\mathcal{V}^k(\#)} \mathcal{B}$

Comonad on Σ is a triple $(\mathbb{E}_n, \varepsilon, ()^*)$

Given a σ -structure \mathcal{A} , we can create σ -structure on the set of Spoiler moves $\mathbb{E}_n \mathcal{A}$ in $\exists^+ \mathbf{EF}_n(\mathcal{A}, \cdot)$, i.e. non-empty sequences of elements in \mathcal{A} of length $\leq n$

Let $\varepsilon_{\mathcal{A}} : \mathbb{E}_n \mathcal{A} \rightarrow \mathcal{A}$ return the last move of the play $[a_1, \dots, a_m] \mapsto a_m$.

$$R^{\mathbb{E}_n \mathcal{A}}(s_1, \dots, s_r) \Leftrightarrow s_i \sqsubseteq s_j \text{ or } s_j \sqsubseteq s_i \text{ for } i, j \in [r]$$
$$\text{and } R^{\mathcal{A}}(\varepsilon_{\mathcal{A}}(s_1), \dots, \varepsilon_{\mathcal{A}}(s_r))$$

For $f : \mathbb{E}_n \mathcal{A} \rightarrow \mathcal{B}$ define $f^* : \mathbb{E}_n \mathcal{A} \rightarrow \mathbb{E}_n \mathcal{B}$ recursively:

$$f^*(s[a]) = f^*(s)[f(a)]$$

EF comonad to game

- Functions $f : \mathbb{E}_n \mathcal{A} \rightarrow \mathcal{B}$ are Duplicator's strategies in $\exists^+ \mathbf{EF}_n(\mathcal{A}, \mathcal{B})$
- Chose relations so that σ -morphisms $f : \mathbb{E}_n \mathcal{A} \rightarrow \mathcal{B}$ are Duplicator's **winning** strategies.
- Coextension $f^* : \mathbb{E}_n \mathcal{A} \rightarrow \mathbb{E}_n \mathcal{B}$ models history preservation of the game

Theorem ([Abramsky and S, 2018])

The following are equivalent:

- 1 *Duplicator has a winning strategy in $\exists^+ \mathbf{EF}_n(\mathcal{A}, \mathcal{B})$*
- 2 *There exists a Kleisli morphism $f : \mathbb{E}_n \mathcal{A} \rightarrow \mathcal{B}$*

- Similar construction for k -pebble game, where $\mathbb{P}_k\mathcal{A}$ is sequences $[(p_1, a_1), \dots, (p_n, a_n)]$ with $p_i \in \mathbf{k}$
- Additional active pebble condition in defining $R^{\mathbb{P}_k\mathcal{A}}$
- $\mathbb{P}_k\mathcal{A}$ necessarily infinite, i.e. not comonad over Σ_f
- Bound length of sequences by $\leq n$, $\mathbb{P}_{k,n}$ obtains a comonad over Σ_f
- First game comonad discovered from Abramsky, Dawar, and Wang LICS 2017

Game comonad power theorems

Theorem ([Abramsky et al., 2017, Abramsky and S, 2018])

For all game comonads \mathbb{C}_k and $\mathcal{A}, \mathcal{B} \in \Sigma_f$

$$\mathcal{A} \Rightarrow^{\exists^+ \mathcal{L}_k} \mathcal{B} \Leftrightarrow \mathcal{A} \rightarrow_k^{\mathbb{C}} \mathcal{B} \Leftrightarrow \text{Duplicator wins } \exists^+ G_k(\mathcal{A}, \mathcal{B})$$

$$\mathcal{A} \equiv^{\mathcal{L}_k} \mathcal{B} \Leftrightarrow \mathcal{A} \leftrightarrow_k^{\mathbb{C}} \mathcal{B} \Leftrightarrow \text{Duplicator wins } G_k(\mathcal{A}, \mathcal{B})$$

$$\mathcal{A} \equiv^{\mathcal{L}_k(\#)} \mathcal{B} \Leftrightarrow \mathcal{A} \cong_k^{\mathbb{C}} \mathcal{B} \Leftrightarrow \text{Duplicator wins } \#G_k(\mathcal{A}, \mathcal{B})$$

The $\rightarrow_k^{\mathbb{C}}$ and $\cong_k^{\mathbb{C}}$ arise from $\mathbf{KI}(\mathbb{C}_k)$:

- $\rightarrow_k^{\mathbb{C}}$ if there exists a Kleisli morphism $f : \mathbb{C}_k \mathcal{A} \rightarrow \mathcal{B}$
- $\cong_k^{\mathbb{C}}$ if there are pairs of Kleisli morphisms $f : \mathbb{C}_k \mathcal{A} \rightarrow \mathcal{B}$ and $g : \mathbb{C}_k \mathcal{B} \rightarrow \mathcal{A}$ that are inverses

The $\leftrightarrow_k^{\mathbb{C}}$ arises from a notion of open map bisimulation [Joyal et al., 1996] in the category of coalgebras $\mathbf{EM}(\mathbb{C}_k)$

Eilenberg-Moore category of coalgebras

Coalgebras are morphisms $\alpha : \mathcal{A} \rightarrow \mathbb{E}_n \mathcal{A}$ satisfying the equations:

$$\epsilon_{\mathcal{A}} \circ \alpha = \text{id}_{\mathcal{A}} \quad \mathbb{C}_k \alpha \circ \alpha = \delta_{\mathcal{A}} \circ \alpha$$

We can define the Eilenberg-Moore category $\mathbf{EM}(\mathbb{E}_n)$:

- Objects are coalgebras $(\mathcal{A}, \alpha : \mathcal{A} \rightarrow \mathbb{E}_n \mathcal{A})$
- Morphisms are commuting squares:

$$\begin{array}{ccc} \mathcal{A} & \xrightarrow{\alpha} & \mathbb{E}_n \mathcal{A} \\ f \downarrow & & \downarrow \mathbb{E}_n f \\ \mathcal{B} & \xrightarrow{\beta} & \mathbb{E}_n \mathcal{B} \end{array}$$

Proposition ([Abramsky and S, 2018])

Category of \mathbb{E}_n -coalgebras $\text{EM}(\mathbb{E}_n)$ isomorphic to a category of n -height forest covers $\Sigma_n^{\mathbb{E}}$

- Objects are (\mathcal{A}, \leq) where $\mathcal{A} \in \Sigma$ and \leq is a forest order on A :
 - All the elements below an element $a \in \mathcal{A}$ form a chain.
 - If a, a' are related in \mathcal{A} , then $a \leq a'$ or $a' \leq a$.
- Morphisms are homomorphisms that preserve the covering relation

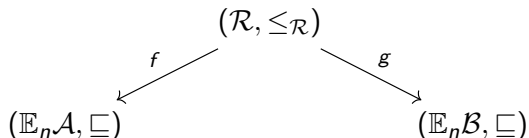
Corollary ([Abramsky and S, 2018])

Coalgebra $\mathcal{A} \rightarrow \mathbb{E}_n \mathcal{A}$ iff $td(\mathcal{A}) \leq n$

We can pick out subcategory of paths in $\Sigma^{\mathbb{E}}$ as objects (P, \leq) where \leq is a linear order

Paths in (\mathcal{A}, \leq) can be seen as $\Sigma_n^{\mathbb{E}}$ -morphisms $i : (P, \leq) \rightarrow (\mathcal{A}, \leq)$ which are embeddings (as a Σ morphism)

Given σ -structures \mathcal{A}, \mathcal{B} , we say $\mathcal{A} \leftrightarrow_n^{\mathbb{E}} \mathcal{B}$ iff there exists a span:



Where f, g are:

- Pathwise embedding:
 $e : (P, \leq) \rightarrow (\mathcal{R}, \leq_{\mathcal{R}}) \Rightarrow f \circ e : (P, \leq) \rightarrow (\mathbb{E}_n \mathcal{A}, \sqsubseteq)$
- Open: If a path can be extended to a larger path in the image, then the preimage of the path can also be extended.

Path-lifting property

$$\begin{array}{ccc} (P, \leq) & \xrightarrow{\quad} & (Q, \leq) \\ \downarrow & & \downarrow \\ (\mathcal{R}, \leq_{\mathcal{R}}) & \xrightarrow{f} & (\mathbb{E}_n \mathcal{A}, \sqsubseteq) \end{array}$$

$$\begin{array}{ccc} (P, \leq) & \xrightarrow{\quad} & (Q, \leq) \\ \downarrow & \swarrow \text{---} & \downarrow \\ (\mathcal{R}, \leq_{\mathcal{R}}) & \xrightarrow{f} & (\mathbb{E}_n \mathcal{A}, \sqsubseteq) \end{array}$$

This is modified notion of open map bisimulation, used in categories of transition systems, event structures, etc. [Joyal et al., 1996]

Fully explicated and generalized to “arboreal categories”
[Abramsky and Reggio, 2021]

Proposition ([Abramsky et al., 2017])

Category of \mathbb{P}_k -coalgebras $\text{EM}(\mathbb{P}_k)$ isomorphic to a category of k -pebble forest covers $\Sigma_n^{\mathbb{E}}$

- Objects are $(\mathcal{A}, \leq, p : \mathcal{A} \rightarrow \mathbf{k})$ with (\mathcal{A}, \leq) a forest cover and p satisfying some conditions.
- Morphisms are morphisms of forest covers that preserve the pebbling function.

Corollary ([Abramsky et al., 2017])

Coalgebra $\mathcal{A} \rightarrow \mathbb{P}_k \mathcal{A}$ iff $\text{tw}(\mathcal{A}) < k$

Theorem (Dawar, Jaki, Reggio 2021)

If \mathbb{C} is a comonad on Σ , then:

$$\mathcal{A} \cong^{\mathbb{C}} \mathcal{B} \Leftrightarrow \text{Hom}_{\Sigma_F}(\mathcal{C}, \mathcal{A}) \cong \text{Hom}_{\Sigma_F}(\mathcal{C}, \mathcal{B})$$

for all finite coalgebras $\mathcal{C} \rightarrow \mathbb{C}\mathcal{C}$

Applied to \mathbb{E}_n and \mathbb{P}_k yields new proofs for Dvořák 2009 and Grohe 2020

Not direct applications, must be explicit about equality in signature

- For \mathbb{P}_k , use of the approximate comonads $\mathbb{P}_{k,n}$

Also prove a new Lovász result for graded modal logic using \mathbb{M}_k

“One-sided” Lovász-type result

Proposition

If \mathbb{C} comonad on Σ restricting to Σ_f , then:

$$\mathcal{A} \rightarrow^{\mathbb{C}} \mathcal{B} \Leftrightarrow \text{Hom}_{\Sigma_f}(\mathcal{C}, \mathcal{A}) \rightarrow \text{Hom}_{\Sigma_f}(\mathcal{C}, \mathcal{B})$$

for all finite coalgebras $\mathcal{C} \rightarrow \mathbb{C}\mathcal{C}$

For \mathbb{E}_n , get the equivalence

$$\mathcal{A} \rightrightarrows^{\exists^+ QR_n} \mathcal{B} \Leftrightarrow \mathcal{C} \rightarrow \mathcal{A} \rightrightarrows \mathcal{C} \rightarrow \mathcal{B}$$

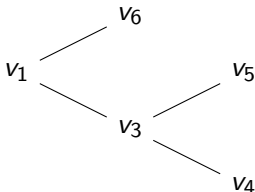
for all finite \mathcal{C} w/ $\text{td}(\mathcal{C}) \leq n$

Used in Rossman’s HPT paper, CSPs, Datalog, etc.

Spoiler's winning strategy in the $\exists^+ \mathbf{EF}_n(\mathcal{A}, \mathcal{B})$ is given by a primitive \exists^+ -sentence ϕ such that $\mathcal{A} \models \phi$, $\mathcal{B} \not\models \phi$ and $\text{qr}(\phi) \leq n$

We can view such sentences as \mathbb{E}_n -coalgebras, consider $n = 3$

$\exists v_1(\exists v_3(\phi_a(v_1, v_3) \wedge \exists v_5 \phi_b(v_1, v_3, v_5) \wedge \exists v_4 \phi_c(v_1, v_3, v_4)) \wedge \exists v_6(\phi_d(v_1, v_6)))$



$\mathcal{A} \models \phi \Leftrightarrow \mathcal{C}[\phi] \rightarrow \mathcal{A}$ in $\Sigma_3^{\mathbb{E}}$

There exists a finite coalgebra $\mathcal{C}[\phi]$ such that there is no function $\text{Hom}(\mathcal{C}[\phi], \mathcal{A}) \rightarrow \text{Hom}(\mathcal{C}[\phi], \mathcal{B})$

Applying “one-sided” Lovász result, $\mathcal{A} \not\rightarrow_3^{\mathbb{E}} \mathcal{B}$

Bisimulation completes the picture

Theorem (+Conjecture)

For all game comonads \mathbb{C}_k and finite coalgebras $\mathcal{C} \rightarrow \mathbb{C}_k \mathcal{C}$:

$$\mathcal{A} \Rightarrow^{\exists^+ \mathcal{L}_k} \mathcal{B} \Leftrightarrow \mathcal{A} \rightarrow_k^{\mathbb{C}} \mathcal{B} \Leftrightarrow \text{Hom}_{\Sigma_f}(\mathcal{C}, \mathcal{A}) \rightarrow \text{Hom}_{\Sigma_f}(\mathcal{C}, \mathcal{B})$$

$$\mathcal{A} \equiv^{\mathcal{L}_k} \mathcal{B} \Leftrightarrow \mathcal{A} \leftrightarrow_k^{\mathbb{C}} \mathcal{B} \Leftrightarrow \exists \text{ span } \text{Hom}_{\Sigma_f}(\mathcal{C}, \mathcal{A}) \leftarrow S \rightarrow \text{Hom}_{\Sigma_f}(\mathcal{C}, \mathcal{B})?$$

$$\mathcal{A} \equiv^{\mathcal{L}_k(\#)} \mathcal{B} \Leftrightarrow \mathcal{A} \cong_k^{\mathbb{C}} \mathcal{B} \Leftrightarrow \text{Hom}_{\Sigma_f}(\mathcal{C}, \mathcal{A}) \cong \text{Hom}_{\Sigma_f}(\mathcal{C}, \mathcal{B})$$

Theorem (Yoneda)

$$A \cong B \iff \text{Hom}(-, A) \cong_{[\Sigma_f^{\text{op}}, \text{Set}]} \text{Hom}(-, B)$$

Yoneda embedding is fully faithful and so reflects isomorphisms

Theorem (Lovász 1967)

$$A \cong B \iff \text{Hom}(F, A) \cong_{\text{Set}} \text{Hom}(F, B) \quad \forall F \in \Sigma_f$$

Lovász: For finite relational structures Σ_f , isomorphism between the sets suffices

Before bisimulation analog of Lovász, is there a bisimulation analog of Yoneda?

Bisimulation of presheaves

Let $\mathcal{T}_n^{\mathbb{E}}$ be the subcategory of n -height forest covers $\Sigma_n^{\mathbb{E}}$ with pathwise embeddings. $F_n\mathcal{A} = (\mathbb{E}_n\mathcal{A}, \sqsubseteq)$. Adapting lemma 16 of [Joyal et al., 1996]

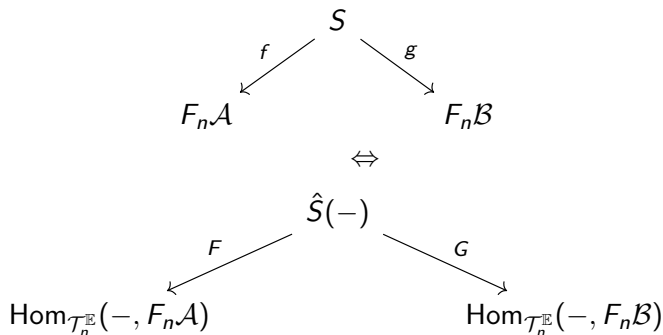
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$$\begin{array}{ccc} & S & \\ & \swarrow f & \searrow g \\ F_n\mathcal{A} & & F_n\mathcal{B} \\ & \Leftrightarrow & \\ & \hat{S}(-) & \\ & \swarrow F & \searrow G \\ \text{Hom}_{\mathcal{T}_n^{\mathbb{E}}}(-, F_n\mathcal{A}) & & \text{Hom}_{\mathcal{T}_n^{\mathbb{E}}}(-, F_n\mathcal{B}) \end{array}$$

Bisimulation of presheaves

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Where F, G are:

- Componentwise epimorphic, for all C , $F_C : \hat{S}(C) \rightarrow \text{Hom}(C, F_n\mathcal{A})$ is a surjective function.
- Open maps in a topos [Joyal and Moerdijk, 1994]

Open maps in a topos

Dropping subscript $\text{Hom}_{\mathcal{T}_n^{\mathbb{E}}}(-, -) = \text{Hom}(-, -)$

For all path embeddings $i : P \rightarrowtail Q$, the following is an quasi-pullback square:

$$\begin{array}{ccc} \hat{S}(Q) & \xrightarrow{\hat{S}(i)} & \hat{S}(P) \\ F_Q \downarrow & & \downarrow F_P \\ \text{Hom}(Q, F_n A) & \xrightarrow{\text{Hom}(i, F_n A)} & \text{Hom}(P, F_n A) \end{array}$$

The mediating morphism

$$\hat{S}(Q) \rightarrow \hat{S}(P) \times_{\text{Hom}(P, F_n A)} \text{Hom}(Q, F_n A)$$

is a surjective function.

Conclusion

Good evidence for bisimulation between hom sets as a way of achieving a Lovász result for logics without counting







Completing this picture

$$\mathcal{A} \rightrightarrows^{\exists^+ \mathcal{L}_k} \mathcal{B} \Leftrightarrow \mathcal{A} \rightarrow_k^{\mathbb{C}} \mathcal{B} \Leftrightarrow \text{Hom}_{\Sigma_f}(\mathcal{C}, \mathcal{A}) \rightarrow \text{Hom}_{\Sigma_f}(\mathcal{C}, \mathcal{B})$$

$$\mathcal{A} \equiv^{\mathcal{L}_k} \mathcal{B} \Leftrightarrow \mathcal{A} \leftrightarrow_k^{\mathbb{C}} \mathcal{B} \Leftrightarrow \exists \text{ span } \text{Hom}_{\Sigma_f}(\mathcal{C}, \mathcal{A}) \leftarrow S \rightarrow \text{Hom}_{\Sigma_f}(\mathcal{C}, \mathcal{B})?$$

$$\mathcal{A} \equiv^{\mathcal{L}_k(\#)} \mathcal{B} \Leftrightarrow \mathcal{A} \cong_k^{\mathbb{C}} \mathcal{B} \Leftrightarrow \text{Hom}_{\Sigma_f}(\mathcal{C}, \mathcal{A}) \cong \text{Hom}_{\Sigma_f}(\mathcal{C}, \mathcal{B})$$

As “removing natuality” from open maps of presheaves inducing open maps of structures

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